



PTO/SB/21 (02-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|---|------------------------|-------------------|
| | | Application Number | 10/635,383 |
| | | Filing Date | 08/06/03 |
| | | First Named Inventor | Clifford H. Beall |
| | | Art Unit | 3672 |
| | | Examiner Name | ----- |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | 274-36393-US |

| ENCLOSURES (Check all that apply) | | |
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| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
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| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
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| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| Remarks | | |
| <p>The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 02-0429-US (274-26393-US).</p> | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | |
|--|-------------------------------|
| Firm or Individual name | Shawn Hunter, Reg. No. 36,168 |
| Signature | |
| Date | February 20, 2004 |

| CERTIFICATE OF TRANSMISSION/MAILING | | |
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| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | |
| Typed or printed name | Gretchen King | |
| Signature | | Date |
| | | February 20, 2004 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Clifford H. Beall § Group Art Unit: 3672
S SERIAL NO.: 10/635,383 §
FILED: 08/06/03 § Examiner: Unknown
TITLE: Locking Apparatus with Packoff Capability §
§ Atty Docket No.: 274-26393-US

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the references listed on the attached Form PTO-1449 be considered by the Examiner and made of record.

This Information Disclosure Statement is not to be considered as a representation that a search has been made or that no other material information as defined under 37 C.F.R. §1.56(a) exists.

Each item of information contained in this Supplemental Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Supplemental Information Disclosure Statement (PCT/US03/27508, international search report dated 01/21/04).

No fees are believed due. However, the Commissioner is hereby authorized to charge any necessary fees or credit any overpayment to Deposit Account No. 02-0429 (274-26393-US).

Respectfully submitted,

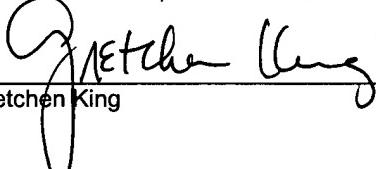
Dated: February 20, 2004


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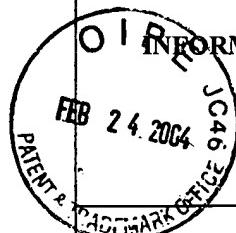
Attorneys for Applicant

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.10

I hereby certify that this paper, along with any referred to as being attached or enclosed, is being forwarded to M.S. DD, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450, via the United states Postal Service, First Class Mail, prepaid on the 20th day of February, 2004.

By: 
Gretchen King

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|---|--|--|--|----------------------------------|--------------------------|--|
| O I INFORMATION DISCLOSURE STATEMENT <i>(Use several sheets if necessary)</i> | | | | ATTY. DOCKET NO. 274-26393-US | SERIAL NO. 10/635,383 | |
| | | | | APPLICANTS Clifford H. Beall | | |
| | | | | FILING DATE 08/06/03 | GROUP 3672 | |



U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|-------------------|----|-----------------------|----------|---------------|-------|----------|----------------------------|
| | AA | 5,261,492 | 11/16/93 | Duell et al. | 166 | 387 | |
| | AB | 5,535,823 | 07/16/96 | Reid | 166 | 123 | |
| | AC | 6,012,527 | 01/11/00 | Nitis et al. | 166 | 313 | |
| | AD | US2002/00237 57 A1 | 02/28/02 | George et al. | 166 | 380 | |
| | AE | | | | | | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | PUBL. DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |
|--|----|-----------------|------------|---------|-------|----------|-------------|
| | | | | | | | YES NO |
| | AK | | | | | | |
| | AL | | | | | | |
| | AM | | | | | | |

OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)

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| *EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant | |